

## United States Patent and Trademark Office

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO	
10/678,050	10/01/2003	Yang-Sheng Xu	CUH - 008.01	6645	
75	12/01/2004		EXAMINER		
Chiahua Georg			MILLER, BENA B		
Law Offices of Ste. 210	C. George Yu		ART UNIT PAPER NUMBER		
1250 Oakmead Pky.			3714		
Sunnyvale, CA	94085		DATE MAILED: 12/01/2004	DATE MAILED: 12/01/2004	

Please find below and/or attached an Office communication concerning this application or proceeding.



	Application No.	Applicant(s)	
	10/678 050	XU ET AL.	
Notice of Abandonment	10/678,050 Examiner	Art Unit	
	4		
	Bena Miller	3714	·
The MAILING DATE of this communicatio	n appears on the cover sheet with	the correspondence add	ress
This application is abandoned in view of:			
Applicant's failure to timely file a proper reply to the     (a) ☐ A reply was received on (with a Certificate period for reply (including a total extension of times).	e of Mailing or Transmission dated _ ne of month(s)) which expired	), which is after the example.	
(b) ☐ A proposed reply was received on, but it			
(A proper reply under 37 CFR 1.113 to a final re application in condition for allowance; (2) a time Continued Examination (RCE) in compliance wi	y filed Notice of Appeal (with appeal	filed amendment which place fee); or (3) a timely filed Re	es the equest for
(c) A reply was received on but it does not c final rejection. See 37 CFR 1.85(a) and 1.111.	onstitute a proper reply, or a bona fid (See explanation in box 7 below).	de attempt at a proper reply	, to the non-
(d) ⊠ No reply has been received.			
Applicant's failure to timely pay the required issue for from the mailing date of the Notice of Allowance (P').	ee and publication fee, if applicable,	within the statutory period of	of three months
<ul> <li>(a) ☐ The issue fee and publication fee, if applicable</li> <li>), which is after the expiration of the statu</li> <li>Allowance (PTOL-85).</li> </ul>	e, was received on (with a C	Certificate of Mailing or Trai fee (and publication fee) se	nsmission dated t in the Notice of
(b) The submitted fee of \$ is insufficient. A b	alance of \$ is due.		
The issue fee required by 37 CFR 1.18 is \$	The publication fee, if required	by 37 CFR 1.18(d), is \$	·
(c) ☐ The issue fee and publication fee, if applicable,	has not been received.		
3. Applicant's failure to timely file corrected drawings a Allowability (PTO-37).	s required by, and within the three-n	nonth period set in, the Noti	ce of
(a) Proposed corrected drawings were received on after the expiration of the period for reply.	(with a Certificate of Mailing of	or Transmission dated	_), which is
(b) No corrected drawings have been received.			
The letter of express abandonment which is signed the applicants.	by the attorney or agent of record, t	he assignee of the entire int	terest, or all of
5. The letter of express abandonment which is signed 1.34(a)) upon the filing of a continuing application.	by an attorney or agent (acting in a	representative capacity und	ler 37 CFR
6. The decision by the Board of Patent Appeals and Ir of the decision has expired and there are no allowe		pecause the period for seek	ing court review
7. The reason(s) below:			
	Ben	ap. Mo	•
Petitions to revive under 37 CFR 1.137(a) or (b), or requests to minimize any negative effects on patent term.			
U.S. Patent and Trademark Office PTOL-1432 (Rev. 04-01) N	otice of Abandonment	Part of Pape	er No. 20041129

# Applicant(s) Application No. XU ET AL. 10/678,050 Interview Summary **Art Unit Examiner** Bena Miller 3714 All participants (applicant, applicant's representative, PTO personnel): (1) Bena Miller. (3)\_\_\_\_. (4)\_\_\_\_ (2) Mr. Chiahua Yu. Date of Interview: 24 November 2004. Type: a)⊠ Telephonic b)□ Video Conference c) Personal [copy given to: 1) applicant 2) applicant's representative Exhibit shown or demonstration conducted: d)☐ Yes If Yes, brief description: \_\_\_\_\_. Claim(s) discussed: \_\_\_\_\_. Identification of prior art discussed: Agreement with respect to the claims f) was reached. g) was not reached. h) N/A. Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: See Continuation Sheet. (A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.) THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

Éxaminer's signafure, if required

#### **Summary of Record of Interview Requirements**

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,

(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)

- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

#### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

#### Continuation Sheet (PTOL-413)

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: The examiner called representative to verify whether a response to the Office Action mailed 05/17/04 was filed. The representative mentioned that he never received the Office Action and that he was presently at a new location. The examiner ask if a change of address was filed with the US Patent and Trademark Office and the representative's mentioned that he did not file a change of address with the Patent Office. To be certain, the examiner checked the address and repeated the address to the representative to verify whether the address was correct—the address was not the new location for the representative. 11/29/04, the examiner did a follow-up call to representative to mentioned that a petition to revive the application must be filed in order to revive the application.

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